



**UBS AG**  
Badenerstrasse 574/C  
Postfach, PO Box, 8098 Zürich

QQ9C / O5GC  
C/A Special Transaction / Default MGMT

Matthias Mohos  
YBM  
+41 44 236 07 54  
+41 44 235 47 21  
matthias.mohos@ubs.com

www.ubs.com

**BY REGISTERED MAIL**

U.S. Bankruptcy Court for the  
Southern District of N.Y.  
One Bowling Green  
New York, N.Y. 10004  
USA

## Message

December 14, 2015

**subject LETTER REGARDING NOTICE OF WITHDRAWAL FILED INCORRECT**

On behalf of                      Attention: Clerk of the Court  
you are receiving              Letter regarding Docket 48836 filed incorrect

- ☐ for your information
- ☒ for your records
- ☐ as agreed
- ☐ please complete

- ☐ returned with thanks
- ☐ please comment
- ☐ please sign
- ☐

- ☐ please return
- ☒ please confirm receipt
- ☒ please process

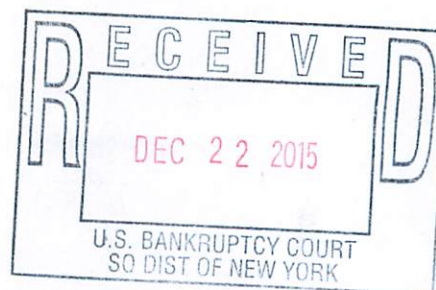
Remarks

LETTER FOR DOCKET 48836 RELATED TO DOCKET 46620

Yours sincerely,

UBS AG

Matthias Mohos  
Associate Director



UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----	X
	: Chapter 11
In re	:
	: Case No. 08-13555
	:
LEHMAN BROTHERS HOLDINGS INC.	: (Jointly Administered)
	:
Debtor.	:
-----	X

**Docket 48836 related to Docket 46620**

**PLEASE TAKE NOTICE** that UBS AG, Zurich withdrew the *Partial Transfer of Claim other than for Security* [Docket No. 46620], dated 29/05/2014, filed in reference to Claim No. 59233.

Unfortunately the withdrawal with Docket 48836 was filed incorrect, only the withdrawal of claim was registered, but NOT the Withdrawal of transfer, so UBS received only money for 216 units instead of 222 units.

Please find attached for your information the related copies regarding this ISIN.

Would you please do the necessary to withdraw the transfer of 6 units and provide us the money.

Kind regards,


Dated: December 14, 2015

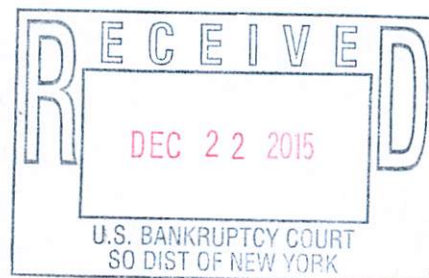
UBS AG, Zürich (Switzerland)

Signature  
UBS AG

Signature  
UBS AG

  
Matthias Möhos  
Associate Director

  
Stephan Gfeller  
Associate Director



Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Credit Suisse AG

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Cravath, Swaine & Moore LLP  
Richard Levin  
825 8th Avenue  
New York, NY 10019

Phone: 212-325-1866

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

UBS AG

Name of Transferor

Court Claim # (if known): 59233

Date Claim Filed: October 30, 2009

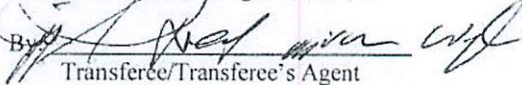
Amount of Claim: -

Portion of Claim Transferred (see  
Schedule I): see Evidence of Transfer of Claim Form

Phone: -

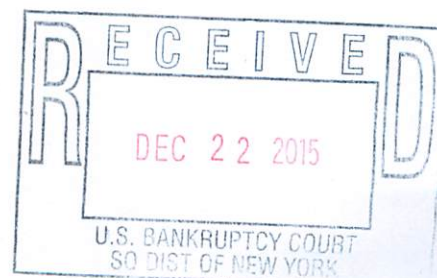
Last Four Digits of Acct. #: -

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

  
Transferee/Transferee's Agent

Date: May 6, 2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.





**EVIDENCE OF PARTIAL TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**


For value received, the adequacy and sufficiency of which are hereby acknowledged, UBS AG ("Transferor") unconditionally and irrevocably transferred to Credit Suisse AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 59233) in the nominal amounts specified in schedule I related to the securities with International Securities Identification Numbers listed in Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED THIS 24 day of April 2014.

**UBS AG**

By:   
Name: Stephan Gfeller  
Title: Associate Director

By:   
Name: Matthias Mohs  
Title: Associate Director

**SCHEDULE I****Lehman Programs Securities Related to Transferred Portion of Claim No. 59233:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Principal Amount/Nominal Amount/ Amount of Claim related to Security
XS0247274599	59233	October 30, 2009	Cl + Lehman Brothers Treasury BV 2006-23.3.2010 SMI	Units 6.00 out of Units 228.00

**03.03.15**

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Frankieren Post

751336

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PRIORITY  
Stand 2

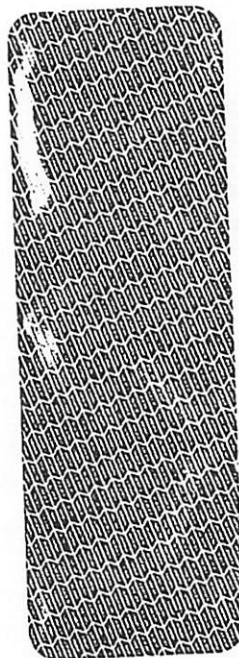
**DIE POST** 



FILED / RECEIVED

MAR 11 2015

EPIC SYSTEMS



FILED / RECEIVED  
MAY 29 2014  
EPIQ SYSTEMS

26.05.14  
CH - 4621  
Frankfurt Post  
2090004  
30001260



3.80  
PRIORITY  
Stand 2  
DEPOST

*Meine Bank*



**Luzerner  
Kantonalbank**

United States Bankruptcy Court  
Southern District of New York  
Lehman Brothers Holdings Claims Proc. Center  
c/o Epiq Bankruptcy Solutions LLC  
FTR Station, P.O. Box 5076  
New York, NY 10150-5076  
United States

Luzerner Kantonalbank AG  
Rechtsdienst & Compliance  
Pilatusstrasse 12  
Postfach  
6002 Luzern

Telefon 0844 822 811  
Telefax 041 206 29 16  
info@lukb.ch, www.lukb.ch  
MWST-Nr. 439 766

Datum May 23, 2014 DR-Fep  
Telefon direkt 041 206 24 86 Peter Felder  
Telefax direkt 041 206 29 16  
E-Mail peter.felder@lukb.ch

**Lehman Brothers Holdings Inc. - Evidence of Transfer of Claim**  
Case No. 08-13555 (JMP)

Dear Sir or Madam,

Enclosed we send you 1 Evidence of Transfer of Claim Form.

Thank you for your attention.

Sincerely yours,

Luzerner Kantonalbank

Peter Felder

enclosure.



**EVIDENCE OF PARTIAL TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, UBS AG ("Transferor") unconditionally and irrevocably transferred to Credit Suisse AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 59233) in the nominal amounts specified in schedule I related to the securities with International Securities Identification Numbers listed in Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED THIS 24 day of April 2014.

UBS AG

By: 

Name: Stephan Gfeller

Title: Associate Director

By: 

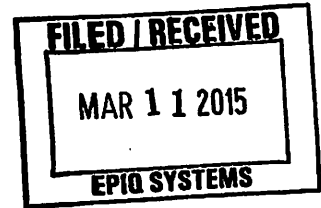
Name: Matthias Mohos

Title: Associate Director

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim No. 59233:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Principal Amount/Nominal Amount/ Amount of Claim related to Security
XS0247274599	59233	October 30, 2009	Ct + Lehman Brothers Treasury BV 2006-23.3.2010 SMI	Units 6.00 out of Units 228.00



United States Bankruptcy Court for the Southern District of New York

**WITHDRAWAL OF CLAIM**

Debtor Name and Case Number:	Lehman Brothers Holdings Inc.
Creditor Name and Address:	UBS AG, transferred to Credit Suisse AG (see attached transfer form) // Luzerner Kantonalbank erroneously filed this evidence of partial transfer of claim
Court Claim Number (if known):	59233
Date Claim Filed:	October 30, 2009
Total Amount of Claim Filed:	Units 6.00 out of Units 228.00

I, the undersigned, am the above-referenced creditor, or an authorized signatory for the above-referenced creditor. I hereby withdraw the above-referenced claim and authorize the Clerk of this Court, or their duly appointed Claims Agent, to reflect this withdrawal on the official claims register for the above-referenced Debtor.

Dated: March 2, 2015

Print Name: Peter Felder Jürg Gubler  
Title (if applicable): Vice President Vice President

**DEFINITIONS**

***Debtor***

The person, corporation, or other entity that has filed a bankruptcy case is called the debtor.

***Creditor***

A creditor is any person, corporation, or other entity to which the debtor owed a debt.

***Proof of Claim***

A form filed with the clerk of the bankruptcy court where the bankruptcy case was filed, to tell the bankruptcy court how much the debtor owed a creditor (the amount of the creditor's claim).

**ITEMS TO BE COMPLETED ON THIS WITHDRAWAL OF CLAIM**

***Court, Name of Debtor and Case Number:***

Fill in the name of the federal judicial district where the bankruptcy case was filed (for example, Central District of California), the name of the debtor in the bankruptcy case, and the bankruptcy case number. If you received a notice of the case from the court, all of this information is near the top of the notice.

***Information about Creditor:***

Complete the section giving the name and address of the creditor that was listed on the previously filed Proof of Claim form.

***Information identifying the Claim that is to be withdrawn:***

Complete the section giving the court claim number, date claim was filed and total amount of claim filed to help identify the claim that is to be withdrawn.

Sign and print the name and title, if any, of the creditor or other person authorized to file this withdrawal of claim (attach copy of power of attorney, if any).

**This form must be filed with the clerk of the bankruptcy court where the bankruptcy case was filed or, if applicable, with their duly appointed Claims Agent as per any procedure approved by the court in the above-referenced bankruptcy proceeding.**

*Meine Bank*



**Luzerner  
Kantonalbank**

United States Bankruptcy Court  
Southern District of New York  
Lehman Brothers Holdings Claims Proc. Center  
c/o Epiq Bankruptcy Solutions LLC  
FTR Station, P.O. Box 5076  
New York, NY 10150-5076  
United States

Luzerner Kantonalbank AG  
Rechtsdienst & Compliance  
Pilatusstrasse 12  
Postfach  
6002 Luzern

Telefon 0844 822 811  
Telefax 041 206 29 16  
info@lukb.ch, www.lukb.ch  
MWST-Nr. 439 766

Datum March 2, 2015 DR-Fep  
Telefon direkt 041 206 24 86 Peter Felder  
Telefax direkt 041 206 29 16  
E-Mail peter.felder@lukb.ch

**Lehman Brothers Holdings Inc. - Evidence of Transfer of Claim**  
**Case No. 08-13555 (JMP)**


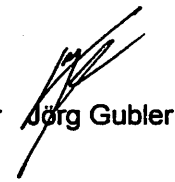
Dear Sir or Madam,

Enclosed we send you 1 Withdrawal of Claim Form.

Thank you for your attention.

Sincerely yours,

Luzerner Kantonalbank

  
Peter Felder  Jörg Gubler

enclosure.

08-13555-SCC Doc 44620 Filed 05/29/14 Entered 06/10/14 15:40:21 Main Document  
Pg 2 of 4

*Meine Bank*



**Luzerner  
Kantonalbank**

United States Bankruptcy Court  
Southern District of New York  
Lehman Brothers Holdings Claims Proc. Center  
c/o Epiq Bankruptcy Solutions LLC  
FTR Station, P.O. Box 5076  
New York, NY 10150-5076  
United States

Luzerner Kantonalbank AG  
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Postfach  
6002 Luzern

Telefon 0844 822 811  
Telefax 041 206 29 16  
info@lukb.ch, www.lukb.ch  
MWST-Nr. 439 766

Datum May 23, 2014 DR-Fep  
Telefon direkt 041 206 24 86 Peter Felder  
Telefax direkt 041 206 29 16  
E-Mail peter.felder@lukb.ch

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Peter Felder

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EXECUTED THIS 24 day of April 2014.

UBS AG

By:   
Name: Stephan Gfeller  
Title: Associate Director

By:   
Name: Matthias Mohos  
Title: Associate Director

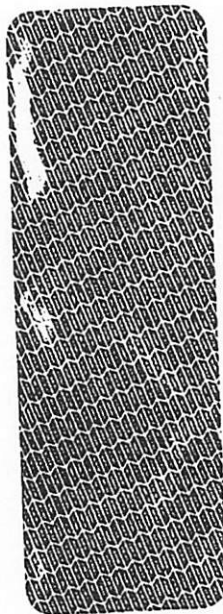


**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim No. 59233:**

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08-13555-scc Doc 44620 Filed 05/29/14 Entered 06/10/14 15:40:21 Main Document  
Pg 1 of 4



FILED / RECEIVED  
MAY 29 2014  
EPIQ SYSTEMS

26.05.14 3.80  
CH - 4621  
Frankfurt Post  
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30001260  
PRIORITY  
Stand 2  
DIE POST